



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William A. Gindlesperger

Atty. Docket No. 11103.103C

Serial No.: 09/ 450,023

Group Art Unit: 3624

Filed: November 29, 1999

Examiner: Daniel S. Felten

Invention: "APPARATUS AND METHOD FOR OBTAINING
LOWEST BID FROM INFORMATION PRODUCT
VENDORS"

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

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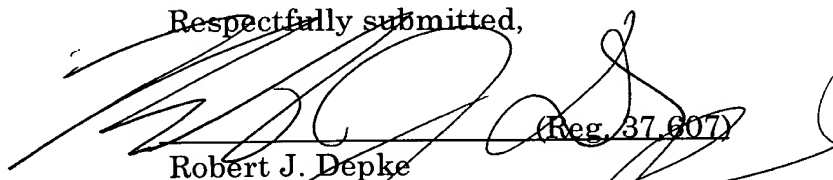
SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicant and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying forms PTO/SB/08A (2 pages) and PTO/SB/08B (1 page).

Applicant has attached the requisite fee for submission for submission of these references after the First Office Action. The undersigned hereby authorizes that any additional fees be charged to Deposit Account No. 50-1794.

The undersigned also points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicant reserves the right to challenge the status of any such document that the office determines to be prior art.

Respectfully submitted,



(Reg. 37.607)

Robert J. Depke
HOLLAND & KNIGHT LLC
55 West Monroe Street, Suite 800
Chicago, IL 60603
(312) 263-3600

11/05/2002 TL0011 00000040 09450023

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180.00 OP



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Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	09/ 450,023	
			Filing Date	November 29, 1999	
			First Named Inventor	William A. Gindlesperger	
			Group Art Unit	3624	
			Examiner Name	Daniel S. Felten	
Sheet	1	of	3	Attorney Docket Number	11103.103C

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	US1	4,839,829		Freedman	6/13/1989	
	US2	4,992,940		Dworkin	2/12/1991	
	US3	5,117,353		Stipanovich et al.	5/26/1992	
	US4	5,237,499		Garback	8/17/1993	
	US5	5,287,194		Lobiondo	2/15/1994	
	US6	5,592,375		Salmon et al.	1/7/1997	
	US7	5,627,973		Armstrong et al.	5/6/1997	
	US8	5,634,055		Barnewall et al.	5/27/1997	
	US9	5,640,569		Miller et al.	6/17/1997	
	US10	5,664,115		Fraser	9/2/1997	
	US11	5,758,327		Gardner et al.	5/26/1998	
	US12	5,758,328		Giovannoli	5/26/1998	
	US13	5,765,138		Aycock et al.	6/9/1998	
	US14	5,790,642		Taylor et al.	8/4/1998	
	US15	5,794,207		Walker et al.	8/11/1998	
	US16	5,815,665		Teper et al.	9/29/1998	
	US17	5,826,244		Huberman	10/20/1998	
	US18	5,963,911		Walker et al.	10/5/1999	
	US19	5,970,475		Barnes et al.	10/19/1999	
	US20	5,995,947		Fraser et al.	11/30/1999	

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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	F1							
	F2							
	F3							
	F4							
	F5							
	F6							
	F7							
	F8							
	F9							
	F10							

Examiner Signature	Date Considered
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			Complete If Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/ 450,023	
			Filing Date	November 29, 1999	
			First Named Inventor	William A. Gindlesperger	
			Group Art Unit	3624	
			Examiner Name	Daniel S. Felten	
Sheet	2	of	3	Attorney Docket Number	11103.103C

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	US1	6,014,644		Erickson	1/11/2000	
	US2					
	US3					
	US4					
	US5					
	US6					
	US7					
	US8					
	US9					
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	F1							
	F2							
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Examiner Signature		Date Considered	
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Application Number	09/ 450,023
Filing Date	November 29, 1999
First Named Inventor	William A. Gindlesperger
Group Art Unit	3624
Examiner Name	Daniel S. Felten
Attorney Docket Number	11103.103C

(use as many sheets as necessary)

Sheet	3	of	3
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Attorney Docket Number	11103.103C
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Sources Sought and Long Range Opportunities, Set-Aside Alert, 10 Feb. 1997, ISSN: 1068-5715, V5, n3
		ImproveNet: Improve.Netcom Now Matches Homeowners to Pre-Screened Architects and Designers: America's Home Improvement Network Answers Consumer Demand for More Help with Home Remodeling, Business Wire, December 4, 1997, entire article
		"ImproveNet: A Match Made on the Internet: ImproveNet.com Helps Contractor Build Their Businesses," Business Wire, October 15, 1997 entire article
		Selecting and Commissioning Building Automation Systems, The Gale Group Newsletter, E., Chad, et al., ISSN: 0162-9131, 10/1/98

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GP 3624

#5/IDS

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/ 450,023
	Filing Date	November 29, 1999
	First Named Inventor	William A. Gindlesperger
	Group Art Unit	3624
	Examiner Name	Daniel S. Felten
Total Number of Pages in This Submission	Attorney Docket Number	11103.103C

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Post Card
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No. 50-1794.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Robert J. Depke, Holland & Knight LLC 55 West Monroe Street, Suite 800, Chicago, IL 60603
Signature	
Date	October 30, 2002

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Typed or printed name	Robert J. Depke (37,607)
Signature	
Date	10/30/2002

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

Complete if Known

Application Number	09/ 450,023
Filing Date	November 29, 1999
First Named Inventor	William A. Gindlesperger
Examiner Name	Daniel S. Felten
Group Art Unit	3624
Attorney Docket No.	11103.103C

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

501794

Deposit
Account
Name

Holland & Knight LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	0.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20** = 0	0.00	0.00
0	-3** = 0	0.00	0.00
0		0.00	0.00

Independent Claims
Multiple Dependent

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	180.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type)

Robert J. Depke

Registration No.
(Attorney/Agent)

37,607

Complete (if applicable)

Telephone

(312) 236-3600

Signature

Date

1-01/30/01

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